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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of:  
Manne S. REDDY et al.

Application No.: 10/799,433

Filed: March 12, 2004

For: A CRYSTALLINE FORM C OF  
OMEPRAZOLE SODIUM AND ...

Date: November 19, 2004  
Atty. Docket No.: BULK 3.0-043  
(Former Docket No. U 015078-2)

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

TRANSMITTAL LETTER

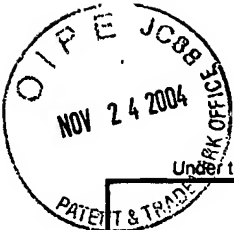
The owners of this application wish to formally appoint attorneys for further proceedings, and therefore are enclosing Forms PTO/SB/80 and PTO/SB/96, plus a copy of an assignment that was executed by the inventors.

Please change the address for correspondence to that of **Customer No. 45776**, and enter the new attorneys' **Docket No. BULK 3.0-043**.

Respectfully submitted,

Robert A. Franks  
Attorney for Applicants  
Reg. No. 28,605

Dr. Reddy's Laboratories, Inc.  
200 Somerset Corporate Blvd.  
Seventh Floor  
Bridgewater, NJ 08807-2862  
Telephone 908-203-6504  
Facsimile 908-203-6515



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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Manne Satyanarayana REDDY et al.

Application No./Patent No.: 10/799,433 Filed/Issue Date: March 12, 2004

Entitled: A CRYSTALLINE FORM C OF OMEPRAZOLE SODIUM AND THE RELATED PROCESS OF ITS ...

Dr. Reddy's Laboratories Limited, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 50 %  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: The eleven inventors To: Dr. Reddy's Laboratories Limited  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert A. Franks

Signature

Robert A. Franks (Reg. No. 28,605)

Printed or Typed Name

Attorney for Dr. Reddy's Laboratories Limited

Title

November 19, 2004

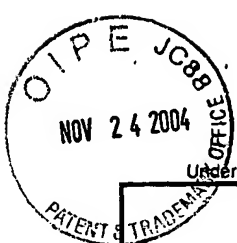
Date

908-203-6504

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicant/Patent Owner: Manne Satyanarayana REDDY et al.

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Dr. Reddy's Laboratories, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or

2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 50 %  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: The eleven inventors To: Dr. Reddy's Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert A. Franks

Signature

Robert A. Franks (Reg. No. 28,605)

Printed or Typed Name

Attorney for Dr. Reddy's Laboratories, Inc.

Title

November 19, 2004

Date

908-203-6504

Telephone Number

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:

☒ Practitioners associated with the Customer Number: 45776

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).


Assignee Name and Address:

Dr. Reddy's Laboratories, Inc.  
Seventh Floor  
200 Somerset Corporate Boulevard  
Bridgewater, New Jersey 08807-2862

**A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.**

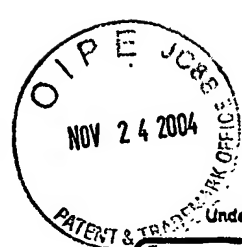
**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Andrew J. Miller			
Signature		Date	August 5, 2004	
Title	Executive Vice President		Telephone	908-203-4949

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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PTO/SB/80 (12-03)

Approved for use through 11/30/2005. OMB 0651-0035  
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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:



Practitioners associated with the Customer Number:

45776

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

**Assignee Name and Address:**

Dr. Reddy's Laboratories Limited

7-1-27 Ameerpet


Hyderabad 500 016, Andhra Pradesh

India

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Andrew J. Miller		
Signature		Date	August 5, 2004
Title	Board Designee	Telephone	908-203-4949

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
[ ] U.S. Provisional Application  
For: [ ] U.S. Patent  
For: [ ] PCT Application  
By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**

<u>MANNE SATYANARAYANA REDDY</u> (type or print name of inventors)	<u>c/o Dr. Reddy's Laboratories Ltd.</u> <u>7-1-27 Ameerpet Hyderabad 500 016, A.P. India</u> <u>Address</u> <u>Indian</u> Nationality
<u>SAJJA ESWARAI AH</u>	<u>c/o Dr. Reddy's Laboratories Ltd.</u> <u>7-1-27 Ameerpet Hyderabad 500 016, A.P. India</u> <u>Address</u> <u>Indian</u> Nationality
<u>VETUKURI VENKATA NAGA</u> <u>KALI VARA PRASADA RAJU</u>	<u>c/o Dr. Reddy's Laboratories Ltd.</u> <u>7-1-27 Ameerpet Hyderabad 500 016, A.P. India</u> <u>Address</u> <u>Indian</u> Nationality
<u>RAPOLU RAJESH KUMAR</u>	<u>c/o Dr. Reddy's Laboratories Ltd.</u> <u>7-1-27 Ameerpet Hyderabad 500 016, A.P. India</u> <u>Address</u> <u>Indian</u> Nationality
<u>KANDALA SREENADHACHARYULU</u>	<u>c/o Dr. Reddy's Laboratories Ltd.</u> <u>7-1-27 Ameerpet Hyderabad 500 016, A.P. India</u> <u>Address</u> <u>Indian</u> Nationality

Added page(s) for signature by subsequent inventors. Number of pages added



**ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS**

**ASSIGNORS (Inventors):**

**GANTA MADHUSUDAN REDDY**

*(type or print name of Inventors)*

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**BABU IRENI**

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7-1-27 Ameerpet Hyderabad 500 016, A.P. India

Address

Indian

Nationality

(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to  
ASSIGNEE

Dr. Reddy's Laboratories Inc.

(type or print name of ASSIGNEE)

One Park Way, Upper Saddle River,

Address

United States of America

Nationality

New Jersey 07458

&

DR. REDDY'S LABORATORIES LIMITED

(type or print name of ASSIGNEE)

7-1-27 AMEERPET HYDERABAD 500 016

Address

Indian

Nationality

INDIA

and the successors, assigns and legal representatives of the ASSIGNEE  
(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States  
and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority, in and to any and all  
improvements which are disclosed in the invention entitled:

A CRYSTALLINE FORM C OF OMEPRAZOLE SODIUM AND THE RELATED PROCESS OF  
ITS PREPARATION, A CRYSTALLINE FORM D OF OMEPRAZOLE SODIUM AND THE RELATED  
PROCESS OF ITS PREPARATION, AND A PROCESS FOR PREPARATION OF CRYSTALLINE FORM  
A OF OMEPRAZOLE SODIUM

Name of inventor(s) MANNE SATYANARAYANA REDDY, SAJJA ESWARAIAH

VETUKURI VENKATA NAGA KALI VARA PRASADA RAJU, RAPOLU RAJESH KUMAR,  
KANDALA SREENADHACHARYULU, GANTA MADHUSUDAN REDDY, BOLIGODDU  
VIJAYABHASKAR, BUCHI REDDY REGURI, RAJASEKHAR KADABOINA, SRINIVAS  
REDDY GADE, BABU IRENI

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith  
(b) ☐ Not yet filed U.S. patent application executed on \_\_\_\_\_  
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for  
the above-entitled invention  
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an  
ASSIGNOR signing below, hereby authorize and request my  
attorney to insert below the filing date and application number when  
they become known.  
(d) ☒ U.S. patent or provisional patent application no. 10/799,433  
filed on March 12, 2004  
(e) ☐ International application no. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding  
patent maintenance fees is being sent separately.



(also check (g), if foreign application(s) is also being assigned)

(g) [ ] and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

MANNE SATYANARAYANA REDDY  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
Signature of ASSIGNOR date of signing

SAJJA ESWARAIAH  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
Signature of ASSIGNOR date of signing

VETUKURI VENKATA NAGA  
KALI VARA PRASADA RAJU  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
Signature of ASSIGNOR date of signing

RAPOLU RAJESH KUMAR  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
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KANDALA SREENADHACHARYULU  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
Signature of ASSIGNOR date of signing

GANTA MADHUSUDAN REDDY  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
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BOLIGODDU VIJAYABHASKAR  
(type name of Assignor)

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(X) [Signature] this (X) 07.07.02  
Signature of ASSIGNOR date of signing

BABU IRENI  
(type name of Assignor)

(X) [Signature] this (X) 07.07.02  
Signature of ASSIGNOR date of signing

(if ASSIGNOR is a legal entity, complete the following information)